FACTS

WHAT DOES CAPITAL WEALTH, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us and may include information obtained directly from you, from transactions involving your accounts, and from other financial institutions and service providers that assist us in servicing your account. This information can include:

- Social Security number and income
- Assets and transaction history
- Investment experience and risk tolerance

When you are *no longer* our client, we continue to share your information as described in this notice.

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information, the reasons Capital Wealth, LLC chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Capital Wealth share?	Can you limit this sharing?
For our everyday business purposes– such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes– to offer our products and services to you	YES	YES
For joint marketing with other financial companies	NO	We do not share
For our affiliates' everyday business purposes– information about your transactions and experiences	NO	NO
For our affiliates' everyday business purposes– information about your creditworthiness	NO	We do not share
For our affiliates to market to you	NO	NO
For nonaffiliates to market to you	NO	We do not share

To limit our sharing	• **Call 801-210-2800** • **Visit us online:** https://www.capitalwealth.com/ If you are a new client, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.
To limit direct marketing	To limit our direct marketing to you by mail or telephone, call **801-210-2800.**
Questions?	Call **801-210-2800.**

Who we are	
Who is providing this notice?	Capital Wealth, LLC

What we do	
How does Capital Wealth, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include safeguards designed to protect client records and information, including computer safeguards, secured files and facilities, access controls, employee training, and oversight of service providers, as appropriate.
How does Capital Wealth, LLC collect my personal information?	We collect your personal information, for example, when you ■ open an account or give us contact information ■ enter into an investment adviser contract or give us your income information ■ tell us about your investment or retirement portfolio ■ use our website, client portal, questionnaires, forms, or other technology-assisted tools We also may collect your personal information from custodians, broker-dealers, financial institutions, technology providers, account aggregation tools, and other service providers as needed to service your account.
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account, unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ ***CWA Insurance Services, LLC***
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ ***We do not share with nonaffiliates so they can market to you. Sharing with such parties is limited to sharing for our everyday business purposes as described above. These parties are contractually or legally required to maintain the confidentiality of the information.***
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ ***We do not jointly market.***

Other important information
Do Not Call Policy: This Privacy Notice constitutes a Do Not Call Policy under the Telephone Consumer Protection Act for all consumers. The Firm maintains an internal Do Not Call preference list. Do Not Call requests will be honored within 10 business days and will be effective for at least five years from the date of request. Marketing calls/texts or

artificial or prerecorded voice message calls may be made to residential or cellular phone numbers that appear on the Do Not Call list with the appropriate consent. If you do not have an account with our Firm, call **801-210-2800** to be placed on the Do Not Call list.

Data Security Incidents: We maintain policies and procedures designed to protect your personal information and respond to unauthorized access to or use of customer information. If we determine that your sensitive personal information has been, or is reasonably likely to have been, accessed or used without authorization in a manner requiring notice under applicable law, we will provide notification as required by federal and state law.

State Law: We will comply with applicable state privacy law to the extent they provide additional rights or privacy protections. For example, we automatically treat clients with a Vermont mailing address as having limited our sharing with affiliates and nonaffiliates, unless you give us authorization.

Insurance Clients: If you obtain insurance-related services through CWA Insurance Services, LLC, you may have additional rights under applicable state insurance privacy laws, including the right to request access to, correction of, amendment to, or deletion of certain personal information related to insurance products. To submit a request, contact us at **801-210-2800**. We will respond within the time required by applicable law**.**

Artificial Intelligence and Technology: Capital Wealth, LLC may use artificial intelligence, automation, and other technology tools to collect, process, and safeguard client information for everyday business purposes. Such providers are subject to confidentiality, use, and security requirements.

Mail-in Form

If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

☐ Apply only to me

Mark any/all you want to limit:
☐ Do not use my personal information to market to me.
☐ Do not use my personal information for marketing with other financial companies.
☐ Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
☐ Do not allow your affiliates to use my personal information to market to me.
☐ Do not share my personal information with non-affiliates to market their products and services to me.

Name: _____
Address: _____
City, State, Zip: _____
Account #: _____

Mail to:
Capital Wealth, LLC
3400 N Ashton Blvd, Suite 190
Lehi, Utah 84048